

# Shape Matching for 3D Retrieval and Recognition

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# Outline

- 1 Introduction
- 2 Applications
- 3 Preliminaries
- 4 Techniques
  - Generic Shape Retrieval
  - Shape recognition
  - Non-rigid Shape Retrieval
- 5 Shape Retrieval Contests
- 6 Final remarks

# 3D collections

Imagen Mapa

Imagen Vista 3D

Vistas: 21405 Descargas: 11590

Descargar modelo

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5 ★ ★ ★ ★ ★ Ver valoraciones y comentarios  
70 valoraciones [Valorar este modelo](#)

Construit au XIX<sup>e</sup>. Château construit de style « brique et pierre », sous le second Empire pour le duc de Trévise. Il abrite l'essentiel des collections du musée de l'Ile-de-France. Au milieu du 19<sup>e</sup> siècle, le domaine de Sceaux est la propriété du duc de Trévise, fils du maréchal Mortier, maréchal d'Empire, et de son épouse Anne-Marie Leconte. Le couple demande à l'architecte Quantinet d'élever un château à l'emplacement de l'ancien château de Colbert détruit peu de temps après la

[Ver en Google Earth](#)  
[Ver en un mapa en 3D](#)

## Creado con Google SketchUp

Este modelo se ha creado con SketchUp, una herramienta de modelado 3D de Google. [Más información](#)



## Colecciones que contienen este modelo



Châteaux en France

## Elementos relacionados

Más modelos de [bdhy](#):



La Liberté

## Otros modelos que te pueden gustar:



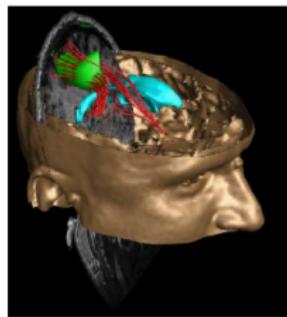
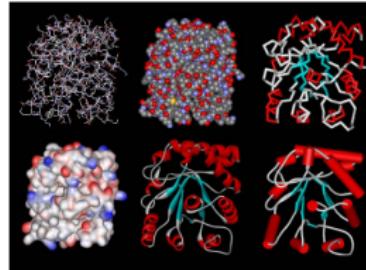
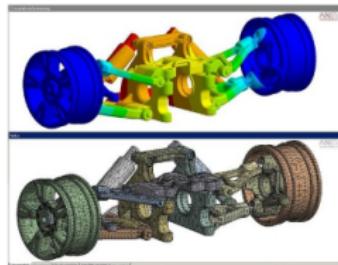
La Catedral de Nuestra Señora de París (La...)

## Complejidad del modelo [¿Qué es esto?](#)

## 3D collections

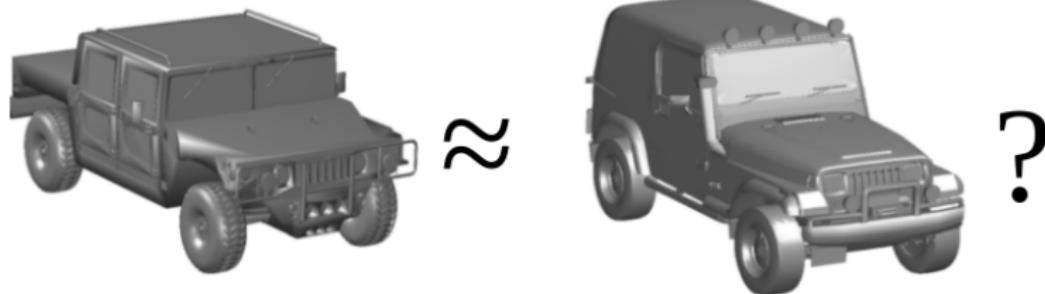


# 3D applications



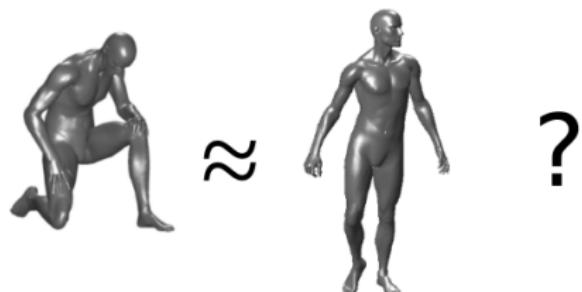
# 3D as media

- The same problem as other media
  - Representation
  - Storage
  - Analysis
  - Processing
- Content-based matching or ...

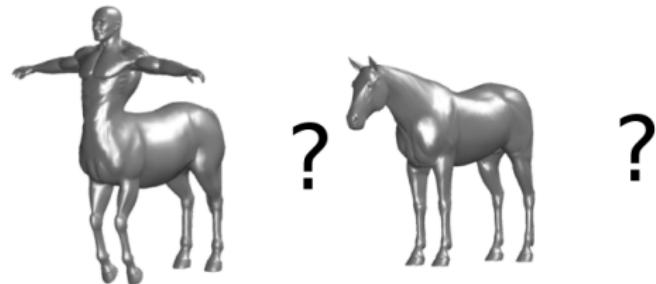


# The problem with matching

## Non-rigid matching

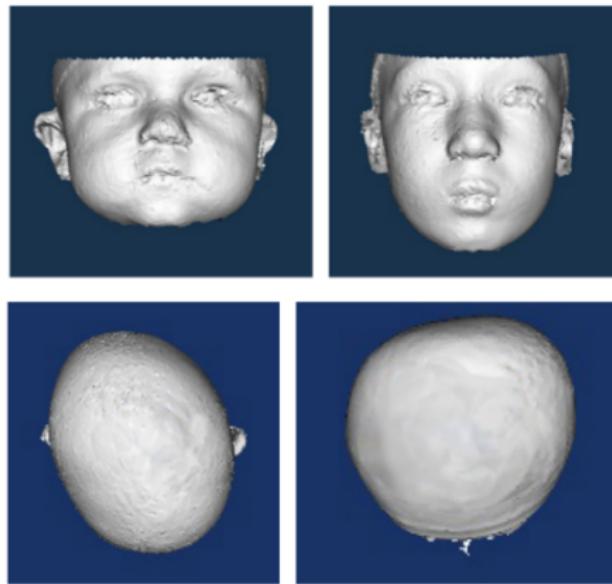


## Partial matching



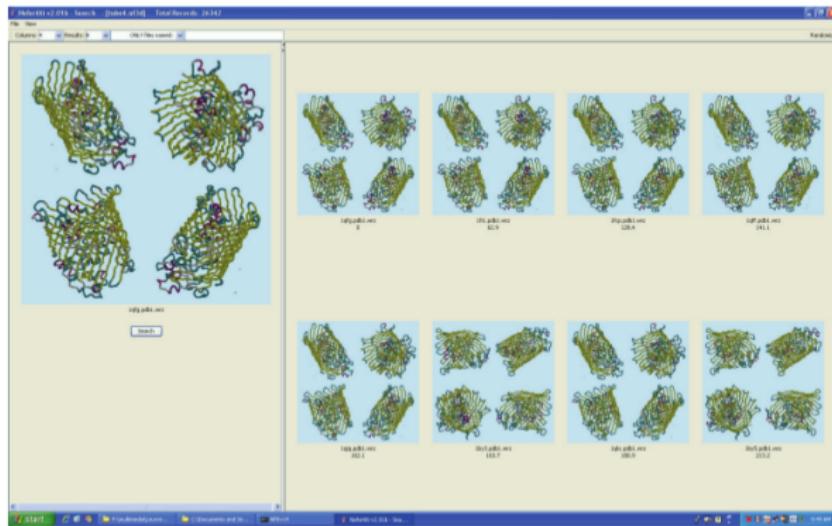
# Craniofacial research

- 3D features to detect anomalies (Atmosukarto et al. 2010)



# 3D protein retrieval and classification

- Searching for similar structures (Paquet and Viktor, 2008)



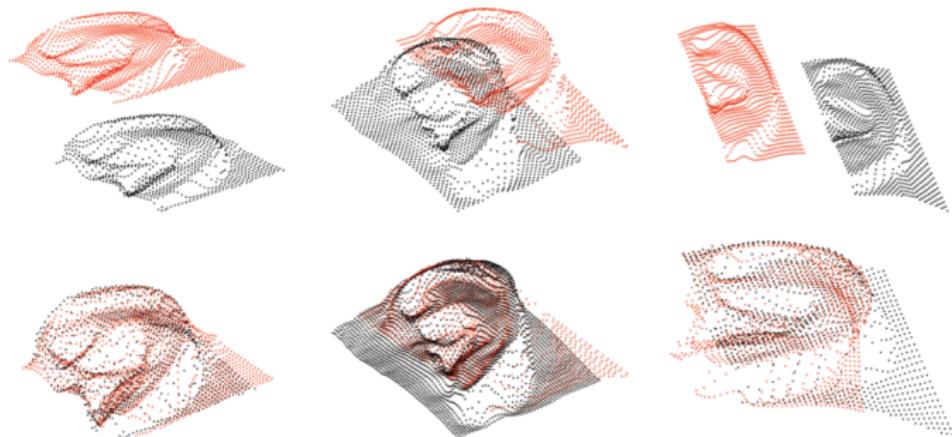
# 3D retrieval for museums

- 3D retrieval for navigation (Goodall et al. 2004)



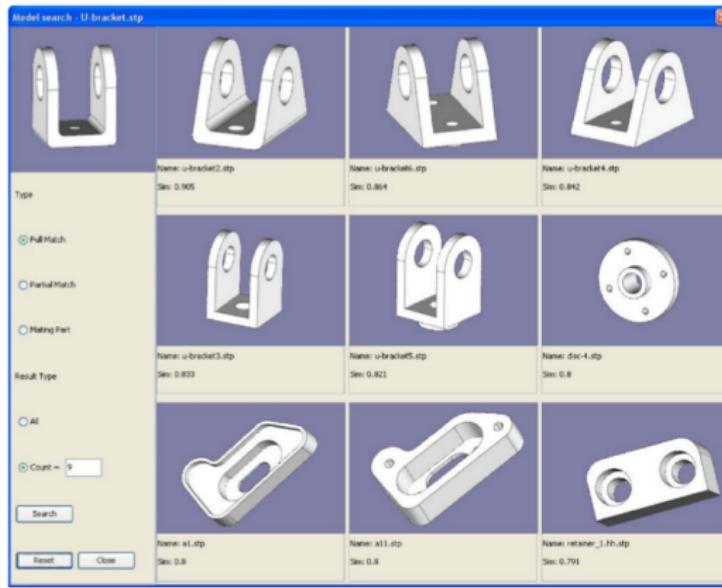
# Human ear recognition in 3D

- 3D features to represent an ear (Chen and Bhanu, 2009)



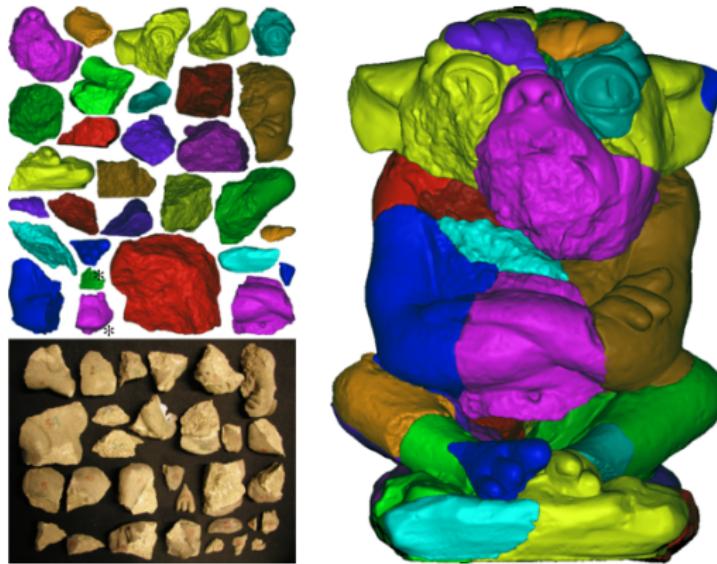
# CAD/CAM

- Manufacturing and production (You and Tsai, 2010)



# Archeology

- Matching for reconstruction (Huang et al. 2006)



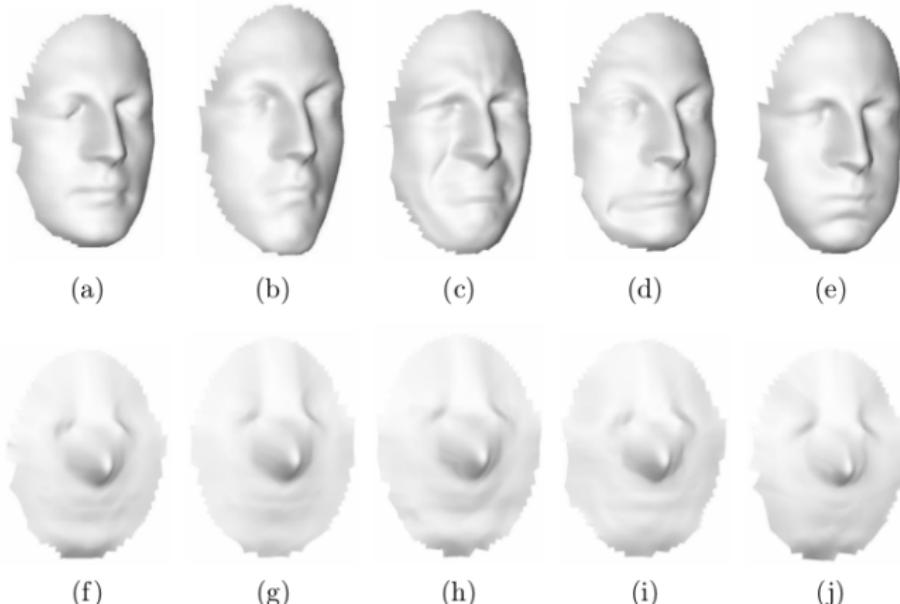
# 3D video sequences

- Characterize a motion (Huang et al., 2010)



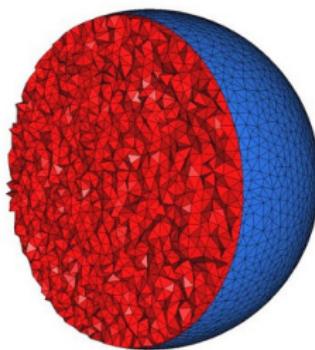
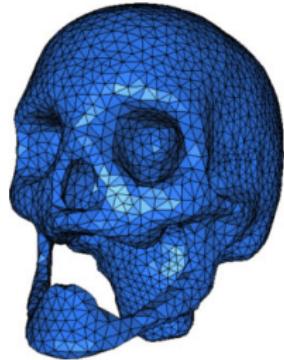
# 3D face recognition

- Gesture-invariant representation (Bronstein et al. 2005)



# 3D Representations

- Triangular meshes (in this tutorial)
- Volumes
- Point cloud

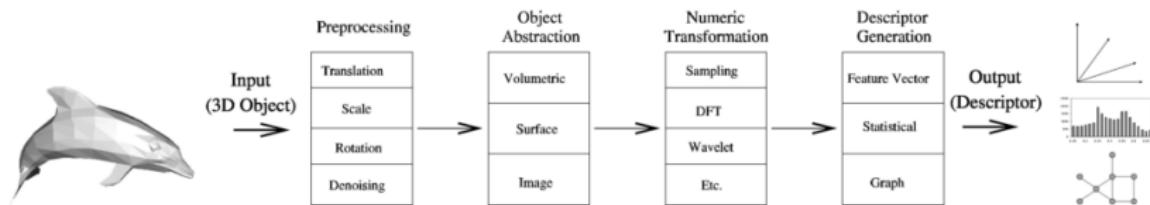


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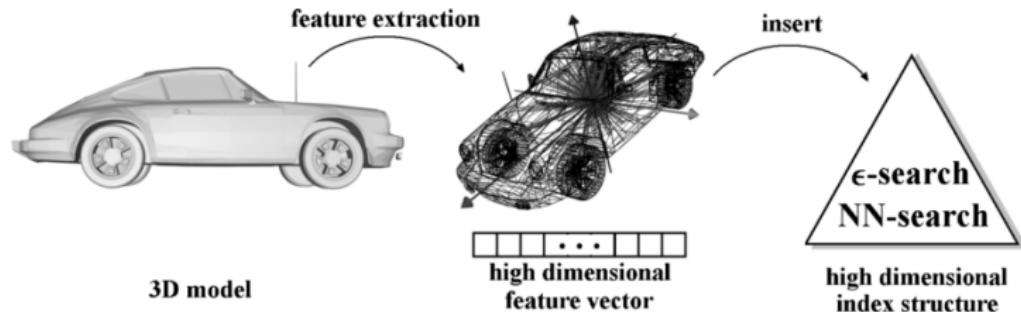
# The global approach

- Transform a 3D object into a numeric/symbolic representation
  - Feature vectors
  - Graphs
- Compare two objects through their representations



# The global approach

- Feature vector approach has been extensively studied
  - Scalability

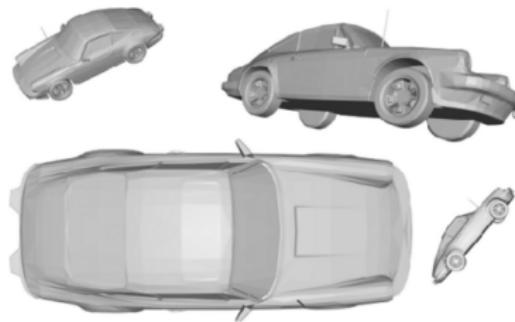


# Depth-buffer descriptor

- Image-based descriptor (Vranic 2004)
  - Pose normalization
  - Depth-buffer construction
  - Fourier transformations
  - Selection of coefficients

# Depth-buffer descriptor

- Pose normalization - Typical procedure
  - Translate the center of mass to the origin of the coordinate system
  - Rotate according to the largest spread
  - Scale to common size



# Depth-buffer descriptor

- Pose normalization - Continuous PCA
  - Let  $f : \mathbb{T} \rightarrow \mathbb{M}$  be a function on the set of triangles  $\mathbb{T}$  in  $\mathbb{R}^3$ .
  - Let us define an operator for the function  $f$  on the set  $\mathbb{T}$ ,

$$\begin{aligned}I_f(T_i) &= \int \int_{v \in T_i} f(v) ds \\&= 2S_i \int_0^1 d\alpha \int_0^{1-\alpha} f(\alpha p_{A_i} + \beta p_{B_i} + (1 - \alpha - \beta)p_{C_i}) d\beta\end{aligned}$$

- In addition

$$I_f(I) = \sum_{i=1}^m I_f(T_i) = \int \int_{v \in I} f(v) ds$$

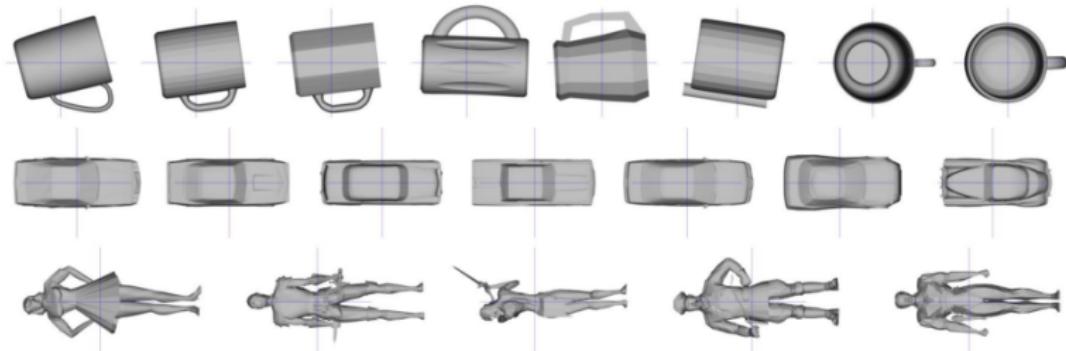
# Depth-buffer descriptor

- Pose normalization - Continuous PCA
  - When  $f(v) = 1$ ,  $I_f(I)$  is the surface area.
  - When  $f(v) = v$ ,  $I_f(I) = m_I$  is the center of mass.
  - When  $f(v) = (v - m_I)(v - m_I)^T$ ,  $I_f(I)$  evaluates to the covariance matrix

$$\begin{aligned} C_I &= \frac{1}{S} \int \int_{v \in I} (v - m_I)(v - m_I)^T ds \\ &= \frac{1}{12S} \sum_{i=1}^m (f(p_{A_i}) + f(p_{B_i}) + f(p_{C_i}) + 9f(g_i)) S_i \end{aligned}$$

# Depth-buffer descriptor

- Pose normalization
  - With the continuous covariance matrix  $C_I$ , PCA can be applied as usual



# Depth-buffer descriptor

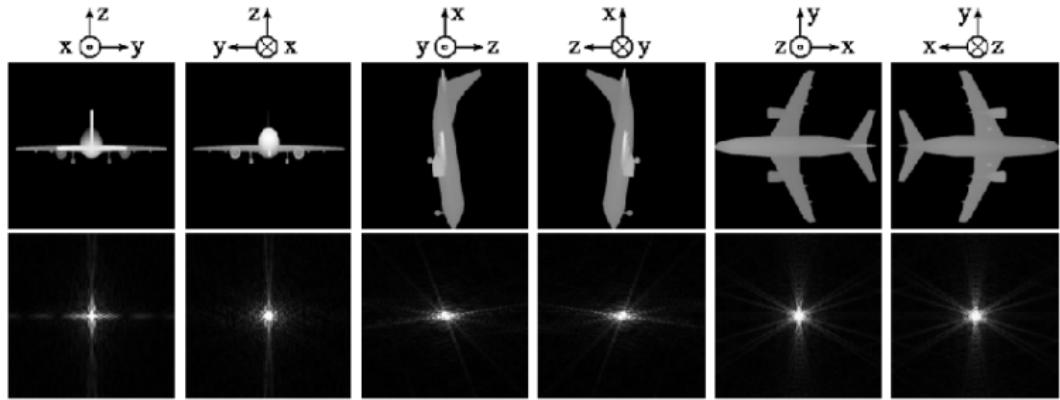
- Construction
  - Project the object into the faces of a bounding rectangle



# Depth-buffer descriptor

- Fourier transformation

$$\hat{f}_{pq} = \frac{1}{\sqrt{MN}} \sum_{a=0}^{M-1} \sum_{b=0}^{N-1} f_{ab} \exp(-j2\pi(pa/M + qb/N))$$



# Depth-buffer descriptor

- Selection of coefficients
  - As depth-buffers are real, coefficient posses the symmetry property.
  - Select coefficients whose indices satisfy

$$|p - N/2| + |q - N/2| \leq k \leq N/2$$

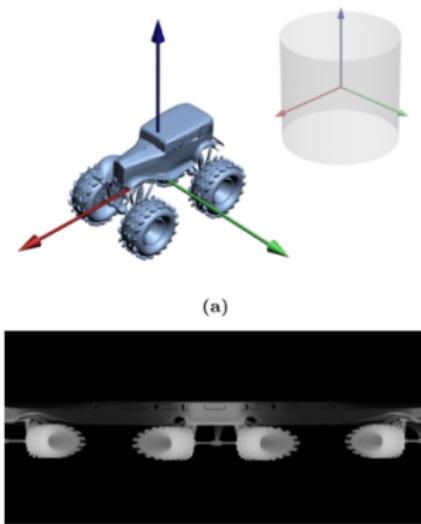
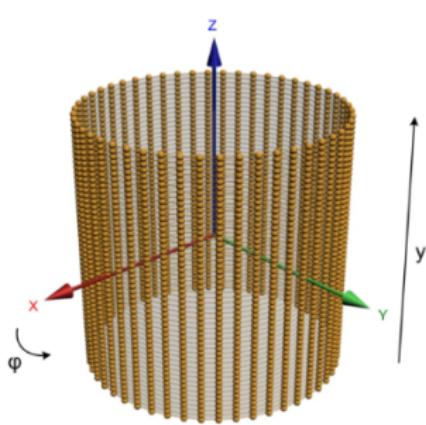
for some natural number  $k$

# PANORAMA descriptor

- Image-based descriptor (Papadakis et al. 2009)
  - Pose normalization (Continuous PCA)
  - Cylindrical projection
  - Fourier and Wavelet transformations

# PANORAMA descriptor

- Cylindrical projection



# PANORAMA descriptor

- Fourier coefficients
- Haar and Coiflet wavelets (features computed on sub-images of the DWT)

- Mean

$$\mu = \frac{1}{N \times M} \sum_{i=1}^N \sum_{j=1}^M I(x, y)$$

- Standard deviation

$$\sigma = \sqrt{\frac{1}{N \times M} \sum_{i=1}^N \sum_{j=1}^M (I(x, y) - \mu)^2}$$

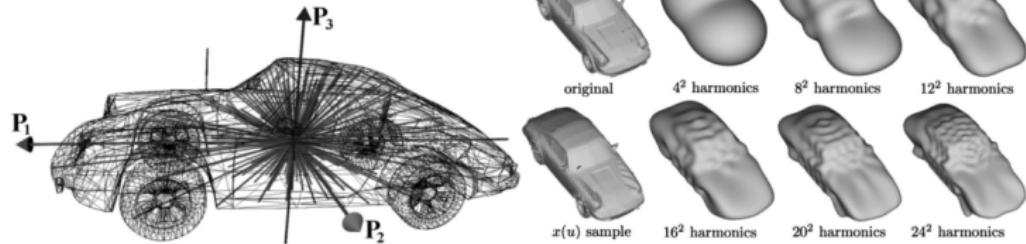
# PANORAMA descriptor

- Fourier coefficients
- Haar and Coiflet wavelets (features computed on sub-images of the DWT)
  - Skewness

$$\beta = \frac{\frac{1}{N \times M} \sum_{i=1}^N \sum_{j=1}^M (I(x, y) - \mu)^3}{\sigma^3}$$

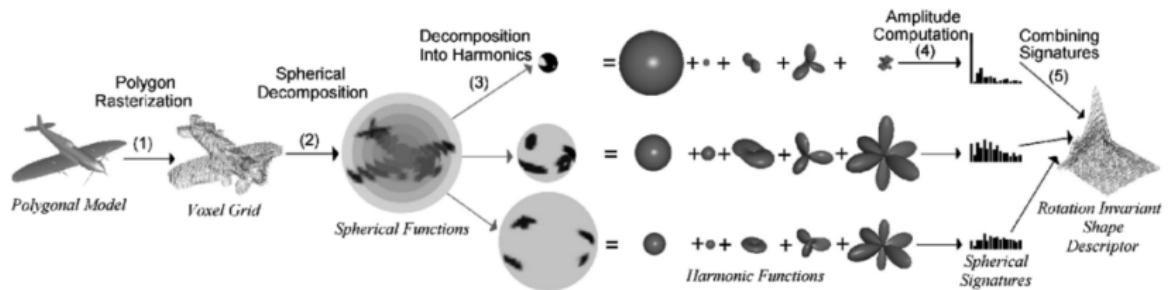
## Other approaches

- Ray-based feature vector (Vrancic 2004)



# Other approaches

- 3D harmonics (Funkhouser et al. 2003)



## Other approaches

- SHREC 2009 Generic Shape Retrieval: Competition with 20+ algorithms (Godil et al. 2009)

| PARTICIPANT             | METHOD                 | NN    | FT    | ST    | E     | DCG   |
|-------------------------|------------------------|-------|-------|-------|-------|-------|
| Akgül<br>(sect. 5.6)    | DBFc8                  | 0.825 | 0.433 | 0.550 | 0.383 | 0.748 |
|                         | DBFc10                 | 0.825 | 0.443 | 0.574 | 0.398 | 0.757 |
|                         | DBFc12                 | 0.813 | 0.449 | 0.578 | 0.406 | 0.759 |
| Bustos<br>(sect. 5.5)   | DSR_segment            | 0.863 | 0.561 | 0.696 | 0.49  | 0.825 |
|                         | DSR_nosegment          | 0.85  | 0.546 | 0.691 | 0.479 | 0.819 |
|                         | Entropy_123_6_segment  | 0.838 | 0.526 | 0.663 | 0.464 | 0.803 |
| Entropy_6789_6_segment  | Entropy_6789_6_segment | 0.838 | 0.528 | 0.668 | 0.467 | 0.805 |
|                         | W1_segment             | 0.838 | 0.528 | 0.666 | 0.466 | 0.806 |
|                         | Chaouch (sect. 5.1)    | MDLA  | 0.963 | 0.730 | 0.848 | 0.602 |
| Daras<br>(sect. 5.3)    | 3D_shape_impact        | 0.8   | 0.447 | 0.567 | 0.396 | 0.749 |
|                         | Compact_multiview      | 0.8   | 0.49  | 0.626 | 0.437 | 0.771 |
|                         | Compound_SID_CMVD      | 0.875 | 0.558 | 0.69  | 0.487 | 0.83  |
| Furuya<br>(sect. 5.6)   | BF-SIFT                | 0.850 | 0.483 | 0.624 | 0.433 | 0.777 |
|                         | MR-SPRH-UDR            | 0.875 | 0.550 | 0.703 | 0.491 | 0.824 |
|                         | SHD+GSMD               | 0.875 | 0.597 | 0.733 | 0.514 | 0.85  |
| Lian<br>(sect. 5.2)     | RECT+SHD+GSMD          | 0.925 | 0.633 | 0.778 | 0.542 | 0.875 |
|                         | RECT+SHD+GSMD+MR       | 0.925 | 0.724 | 0.844 | 0.595 | 0.904 |
|                         | Run1                   | 0.900 | 0.522 | 0.665 | 0.459 | 0.814 |
| Napoléon<br>(sect. 5.4) | Run2                   | 0.950 | 0.615 | 0.701 | 0.502 | 0.864 |
|                         | Run3                   | 0.950 | 0.639 | 0.771 | 0.540 | 0.882 |
|                         | Run4                   | 0.900 | 0.550 | 0.662 | 0.465 | 0.826 |
|                         | Run5                   | 0.887 | 0.570 | 0.709 | 0.497 | 0.838 |

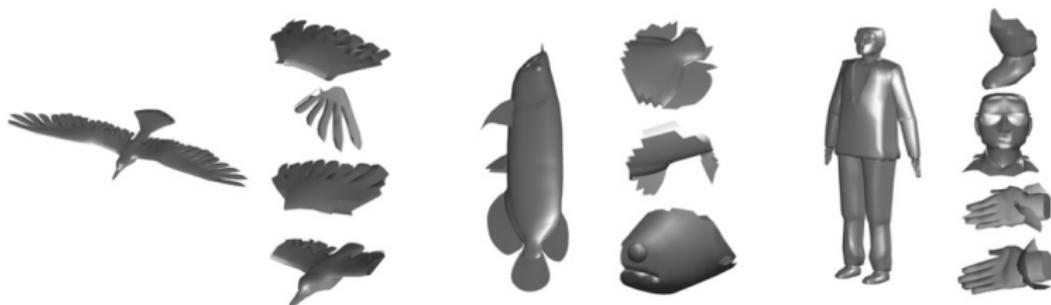
# Global + Local approach

- Trying to take advantage of the local information in shapes  
(Sipiran et al. 2013)



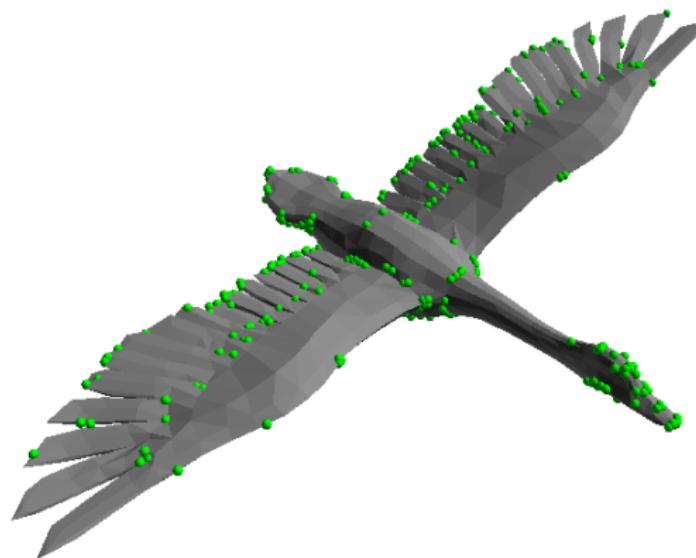
# Global + Local approach

- We need discriminative and robust partitions
- Local features-based approach



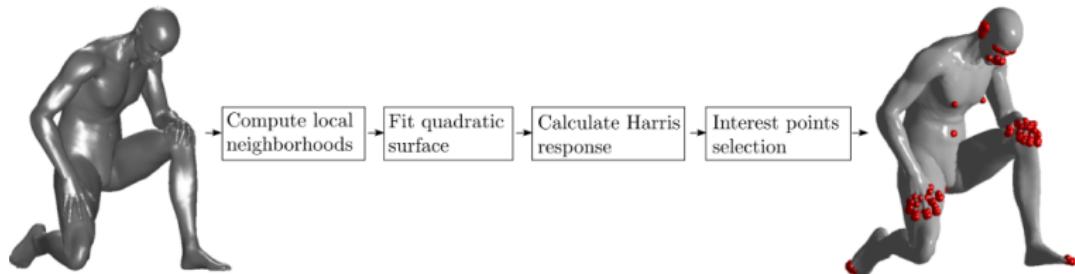
# Data-aware 3D partitioning

- Step 1: Detection of keypoints
  - Harris 3D algorithm (Sipiran and Bustos, 2011)



# Data-aware 3D partitioning

- Harris 3D algorithm
  - Pipeline



# Data-aware 3D partitioning

- Harris algorithm
  - Extension of the well-known method for images
  - Harris algorithm
    - Autocorrelation function

$$e(x, y) = \sum_{x_i, y_i} W(x_i, y_i) [I(x_i + \Delta x, y_i + \Delta y) - I(x_i, y_i)]^2$$

where  $I(., .)$  denotes the image function and  $(x_i, y_i)$  are the points in the Gaussian function  $W$  centered on  $(x, y)$ , which defines the neighborhood area in analysis.

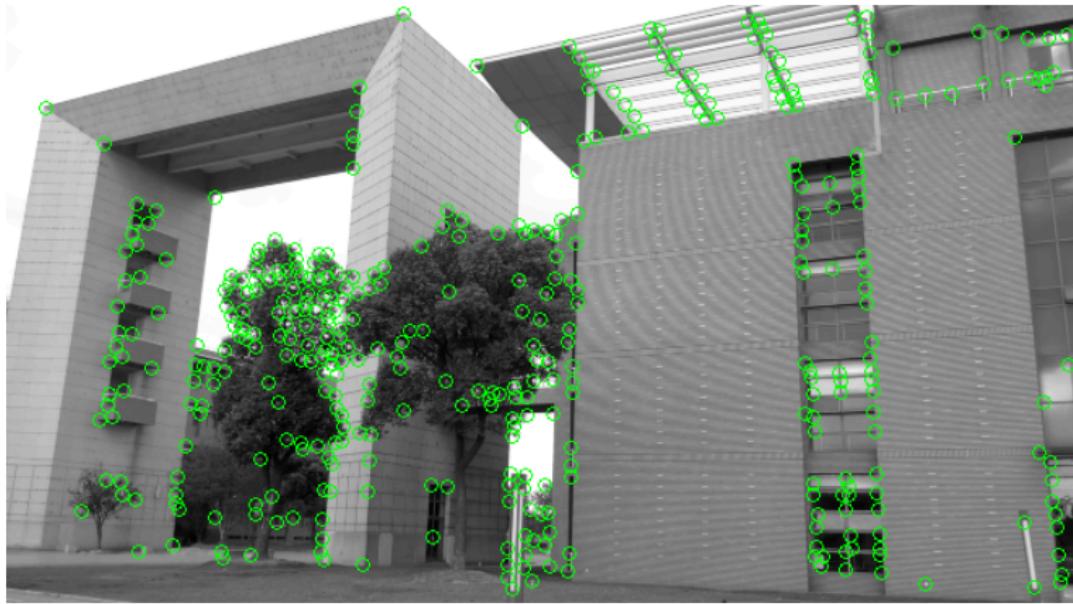
# Data-aware 3D partitioning

- Harris 3D algorithm
  - Using a Taylor expansion

$$\begin{aligned} e(x, y) &= \vec{S} \begin{bmatrix} \sum_{x_i, y_i} W \cdot I_x^2 & \sum_{x_i, y_i} W \cdot I_x \cdot I_y \\ \sum_{x_i, y_i} W \cdot I_x \cdot I_y & \sum_{x_i, y_i} W \cdot I_y^2 \end{bmatrix} \vec{S}^T \\ &= \vec{S} E(x, y) \vec{S}^T \end{aligned}$$

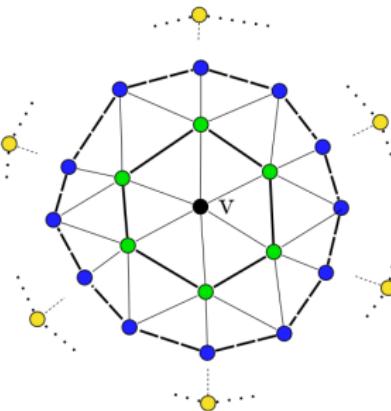
where  $\vec{S} = [\Delta x \ \Delta y]$  is a shift vector,  $I_x$  and  $I_y$  denote the partial derivatives in  $x$  and  $y$ , and along with  $W$  are evaluated in  $(x_i, y_i)$  points.

- Harris algorithm



# Data-aware 3D partitioning

- Harris 3D algorithm
  - Extension for 3D meshes is not trivial due to the lack of a regular neighborhood topology.
  - How to compute a neighborhood around a vertex?
    - Adaptive neighborhood



# Data-aware 3D partitioning

- Harris 3D algorithm
  - Good choice: neighborhood dependent of the local structure

$$\text{ring}_k(v) = \{w \in V' \text{ such that } |\text{shortest\_path}(v, w)| = k\}$$

$$d_{\text{ring}}(v, \text{ring}_k(v)) = \max_{w \in \text{ring}_k(v)} \|v - w\|_2$$

$$\begin{aligned} \text{radius}_v = \{k \in \mathbb{N} \text{ such that } d_{\text{ring}}(v, \text{ring}_k(v)) \geq \delta \text{ and} \\ d_{\text{ring}}(v, \text{ring}_{k-1}(v)) < \delta\} \end{aligned}$$

# Data-aware 3D partitioning

- Harris 3D algorithm
  - Translate the neighborhood,  $v_i$  should be the origin
  - PCA to normalize the spread of the points. Optimally, points are well distributed in plane XY.
  - Fit a quadratic surface

$$z = f(x, y) = \frac{p_1}{2}x^2 + p_2xy + \frac{p_3}{2}y^2 + p_4x + p_5y + p_6$$

- Function  $f(x, y)$  is similar to an image

# Data-aware 3D partitioning

- Harris 3D algorithm
  - In order to deal with local changes: smoothing

$$A = \frac{1}{2\sigma^4\pi} \int_{\mathbb{R}^2} e^{\frac{-(x^2+y^2)}{2\sigma^2}} \cdot \left( \frac{\partial f(x, y)}{\partial x} \right)^2 dx dy$$

$$B = \frac{1}{2\sigma^4\pi} \int_{\mathbb{R}^2} e^{\frac{-(x^2+y^2)}{2\sigma^2}} \cdot \left( \frac{\partial f(x, y)}{\partial y} \right)^2 dx dy$$

$$C = \frac{1}{2\sigma^4\pi} \int_{\mathbb{R}^2} e^{\frac{-(x^2+y^2)}{2\sigma^2}} \cdot \left( \frac{\partial f(x, y)}{\partial x} \right) \left( \frac{\partial f(x, y)}{\partial y} \right) dx dy$$

# Data-aware 3D partitioning

- Harris 3D algorithm
  - Evaluate the integrals to obtain the terms

$$A = \frac{p_4^2}{\sigma^2} + p_1^2 + p_2^2$$

$$B = \frac{p_5^2}{\sigma^2} + p_2^2 + p_3^2$$

$$C = \frac{p_4 p_5}{\sigma^2} + p_1 p_2 + p_2 p_3$$

# Data-aware 3D partitioning

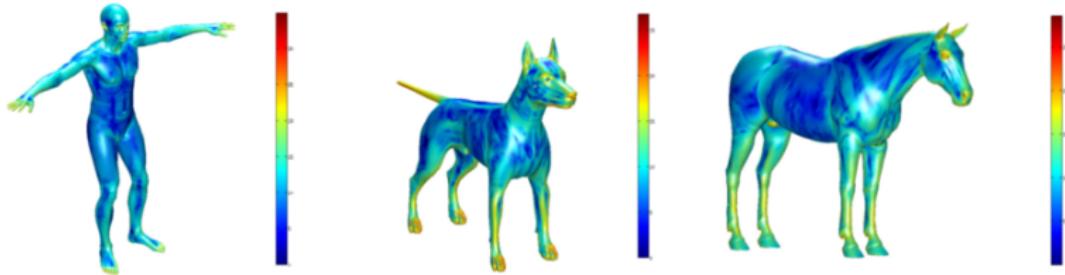
- Harris 3D algorithm
  - The autocorrelation matrix is then

$$E = \begin{pmatrix} A & C \\ C & B \end{pmatrix}$$

- Now we can evaluate the Harris operator for each vertex in the mesh, as usual.
- To detect keypoints, we can select, for instance, the top 1% vertices with the highest response.

# Data-aware 3D partitioning

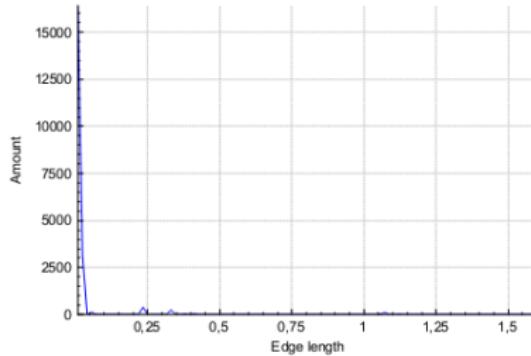
- Harris 3D algorithm
  - Saliency plot



## Demo 1: Harris keypoints

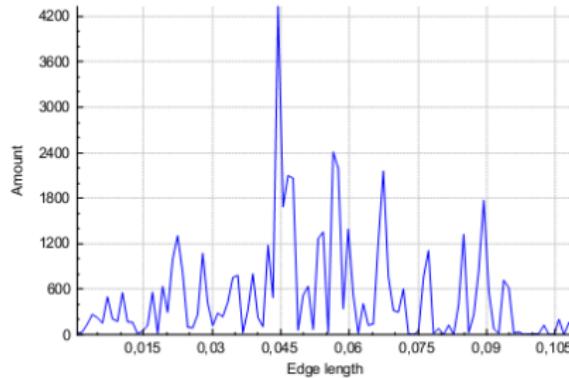
# Data-aware 3D partitioning

- Step 1: Detection of keypoints
  - Meshes with bad triangulation
  - Control of resolution to improve triangulations (Johnson and Hebert, 1998)



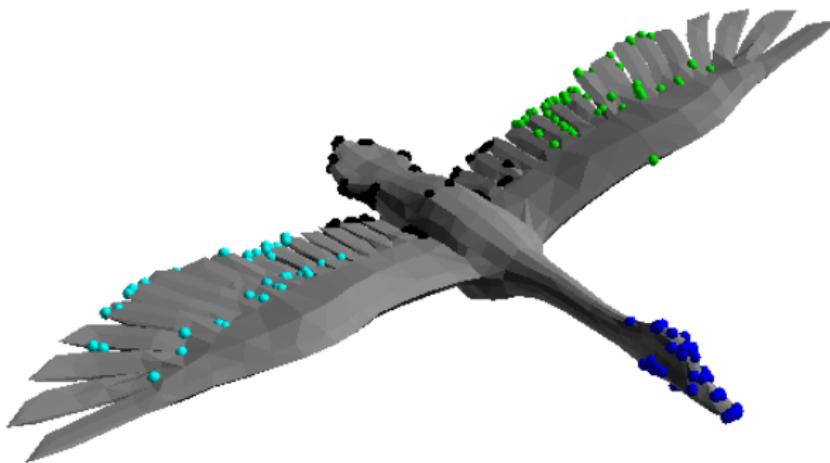
# Data-aware 3D partitioning

- Step 1: Detection of keypoints
  - Algorithm controls the edge lengths



## Data-aware 3D partitioning

- Step 2: Adaptive clustering of keypoints in Euclidean space
  - Near points: same clustering
  - Far points: different cluster



# Adaptive clustering in $\mathbb{R}^n$

- Input:  $P \in \mathbb{R}^n$ , inter-cluster threshold  $R$ , intra-cluster threshold  $S$ , minimum number of elements  $N$ 
  - For each  $p \in P$ , if  $p$  belongs to some existing cluster  $C_i$ , insert  $p$  into  $C_i$
  - If  $p$  does not belong to any cluster, create a new cluster
  - For each cluster  $C_i$ , if  $|C_i| < N$ , then remove cluster, update centroid otherwise.
  - Repeat until satisfying some stop criterion

# Data-aware 3D partitioning

- Step 3: Partitioning and description
  - Extract the patch enclosed by a sphere containing a cluster
  - We use a kd-tree to efficiently search vertices in the enclosing sphere
  - An object is represented as

$$S_O = \{(s_O, P_O) | s_O \in \mathbb{R}^n \text{ and } P_O = \{p_O^1, p_O^2, \dots, p_O^m\}, p_O^i \in \mathbb{R}^n\}$$

where  $s_O$  is a global descriptor of the entire shape, and  $p_O^i$  is a global descriptor for a part.

# Data-aware 3D partitioning

- Matching

- Given two objects  $O$  and  $Q$ , with their representations

$$S_O = \{(s_O, P_O) | s_O \in \mathbb{R}^n \text{ and } P_O = \{p_O^1, p_O^2, \dots, p_O^m\}, p_O^i \in \mathbb{R}^n\}$$

$$S_Q = \{(s_Q, P_Q) | s_Q \in \mathbb{R}^n \text{ and } P_Q = \{p_Q^1, p_Q^2, \dots, p_Q^k\}, p_Q^j \in \mathbb{R}^n\}$$

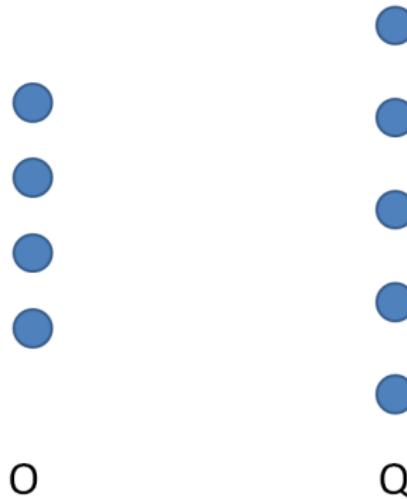
- The distance is a linear combination

$$d(S_O, S_Q) = \mu \|s_O - s_Q\| + (1 - \mu)d(P_O, P_Q)$$

- How to evaluate  $d(P_O, P_Q)$  if it involves a many-to-many matching?

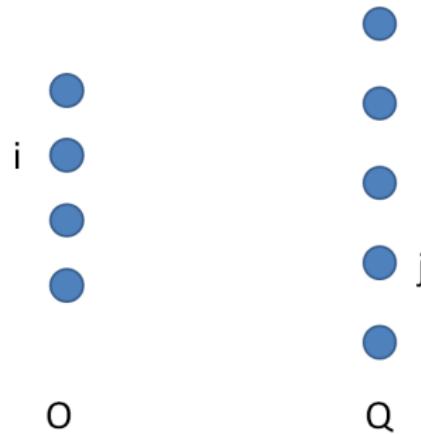
# Data-aware 3D partitioning

- Matching



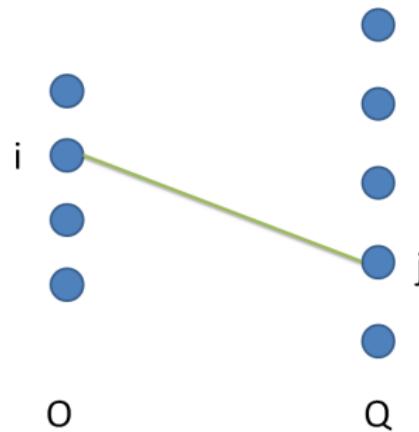
# Data-aware 3D partitioning

- Matching



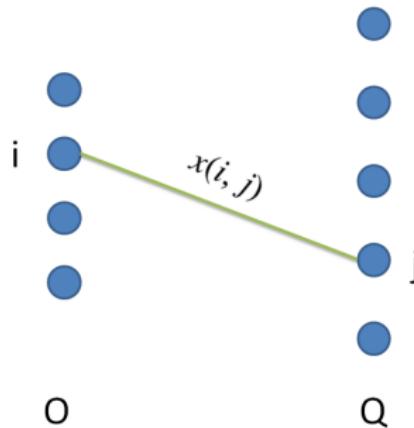
# Data-aware 3D partitioning

- Matching



# Data-aware 3D partitioning

- Matching



# Data-aware 3D partitioning

- The correspondence can be formulated as a binary variable

$$x(i, j) = \begin{cases} 1, & \text{if } p_O^i \text{ matches } p_Q^j \\ 0 & \text{otherwise.} \end{cases}$$

- The problem is to find the best  $x$

$$f(x) = \sum_{i,j} \|p_O^i - p_Q^j\|_2 \cdot x(i, j)$$

- The optimum can be used to formulate a distance

$$d(P_O, P_Q) = \frac{f(x^*)}{\min(|P_O|, |P_Q|)}$$

# Data-aware 3D partitioning

- Matching is solved with integer programming

$$\min_x C^T x \text{ such that } \begin{cases} Ax \leq b \\ A_{eq}x = b_{eq} \\ x \text{ is binary} \end{cases}$$

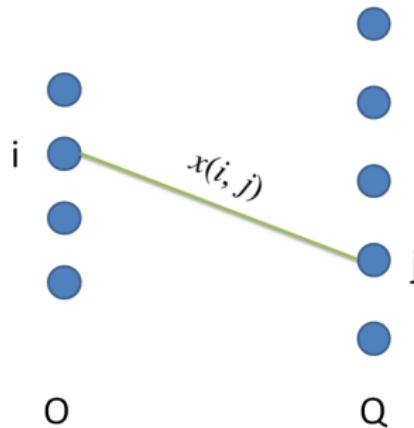
where  $C(i, j) = \|p_O^i - p_Q^j\|_2$ .

# Data-aware 3D partitioning

- Linear approach is not geometrically consistent
- Let us introduce a geometric constraint for parts

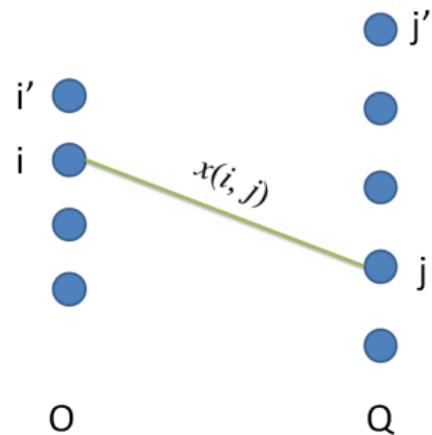
# Data-aware 3D partitioning

- Matching



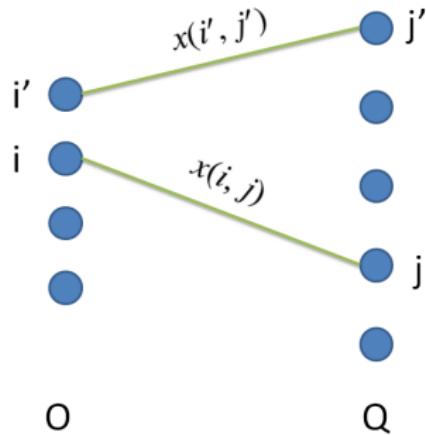
# Data-aware 3D partitioning

- Matching



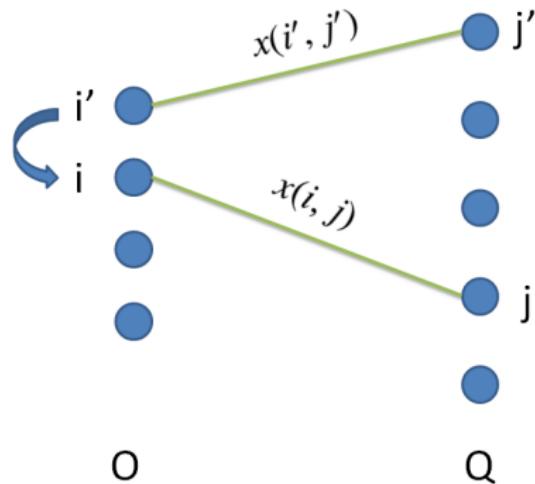
# Data-aware 3D partitioning

- Matching



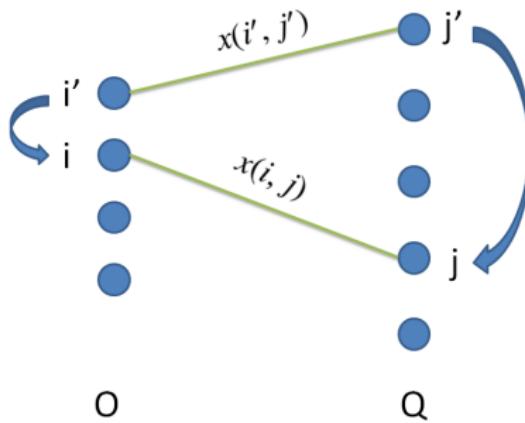
# Data-aware 3D partitioning

- Matching



# Data-aware 3D partitioning

- Matching



# Data-aware 3D partitioning

- Quadratic programming

$$f(x) = \alpha \sum_{i,j,i',j'} |d_S^O(i,i') - d_S^Q(j,j')| x(i,j)x(i',j') + \beta \sum_{i,j} \|p_O^i - p_Q^j\|_2 x(i,j)$$

- Now, we consider the inter-distance between parts

# Data-aware 3D partitioning

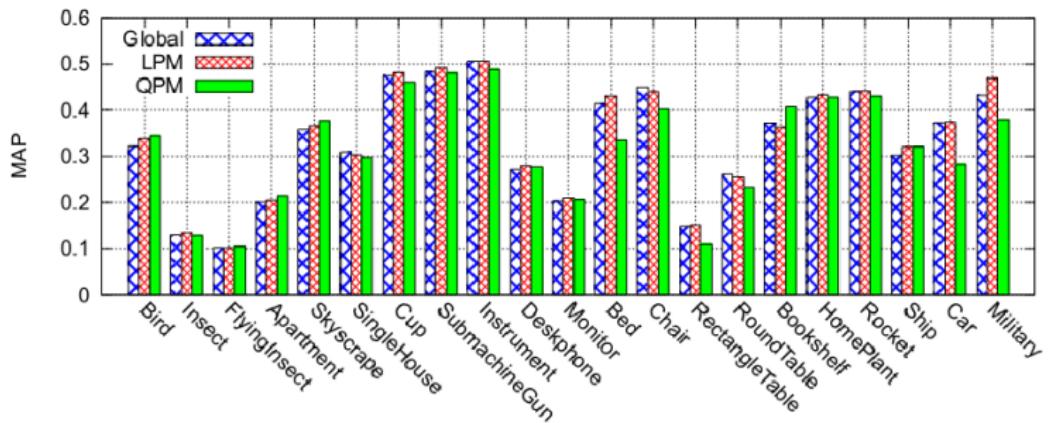
- Matching is solved with quadratic integer programming

$$\min_x \frac{1}{2} x^T D x + C^T x \text{ such that } \begin{cases} Ax \leq b \\ A_{eq}x = b_{eq} \\ x \text{ is binary} \end{cases}$$

where  $D(\{i, j\}, \{i', j'\}) = |d_S^O(i, i') - d_S^O(j, j')|$ .

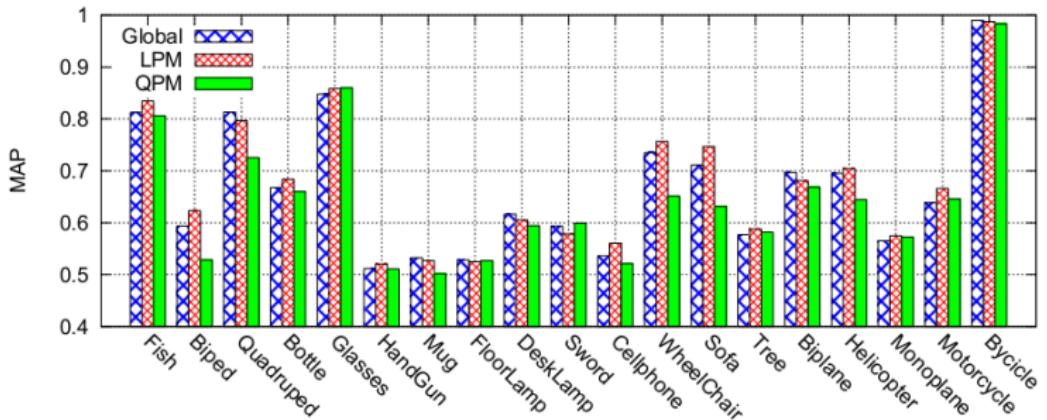
# Data-aware 3D partitioning

- Class-by-class



# Data-aware 3D partitioning

- Class-by-class



# Data-aware 3D partitioning

- High variability inside classes
- Difficult problem for representations

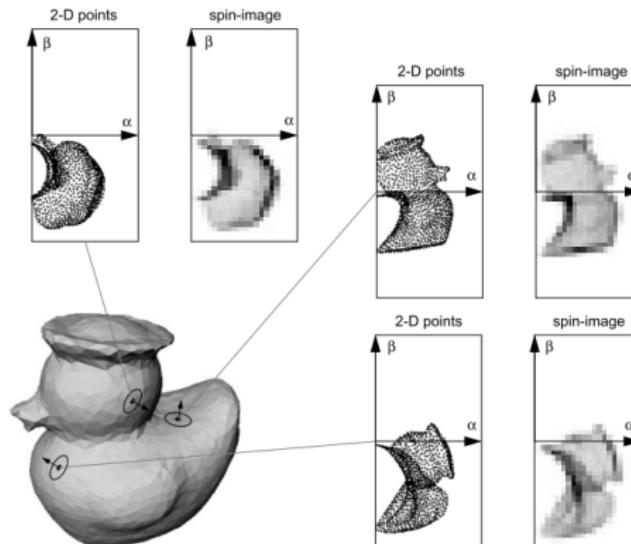


# Outline

- 1 Introduction
- 2 Applications
- 3 Preliminaries
- 4 Techniques
  - Generic Shape Retrieval
  - **Shape recognition**
  - Non-rigid Shape Retrieval
- 5 Shape Retrieval Contests
- 6 Final remarks

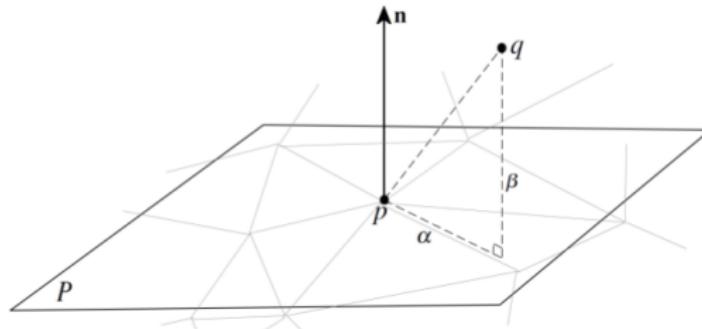
# Spin images

- Robust local descriptor (Johnson 1997)
- It is based on how points are distributed on a surface



# Spin images

- A local basis is constructed from
  - An oriented point  $p$
  - The normal  $n$
  - The tangent plane  $P$  through  $p$  and perpendicular to  $n$



# Spin images

- Any point  $q$  can be represented in this basis

$$S_O : \mathbb{R}^3 \rightarrow \mathbb{R}^2$$

$$S_O(q) \rightarrow (\alpha, \beta) = \left( \sqrt{\|q - p\|^2 - (\vec{n} \cdot (q - p))^2}, \vec{n} \cdot (q - p) \right)$$

- The coordinate of  $q$  in the spin image is computed from  $(\alpha, \beta)$

# Spin images

- Computing positions

$$i = \left\lfloor \frac{\frac{W*bin}{2} - \beta}{bin} \right\rfloor$$

$$j = \left\lfloor \frac{\alpha}{bin} \right\rfloor$$

# Spin images

- Accumulation is performed using bilinear weights

$$I(i, j) = I(i, j) + (1 - a)(1 - b)$$

$$I(i, j + 1) = I(i, j + 1) + (1 - a)b$$

$$I(i + 1, j) = I(i + 1, j) + a(1 - b)$$

$$I(i + 1, j + 1) = I(i + 1, j + 1) + ab \quad (1)$$

where

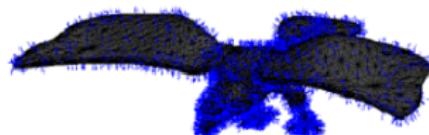
$$a = \frac{\alpha}{bin} - j$$

$$b = \frac{\frac{W*bin}{2} - \beta}{bin} - i \quad (2)$$

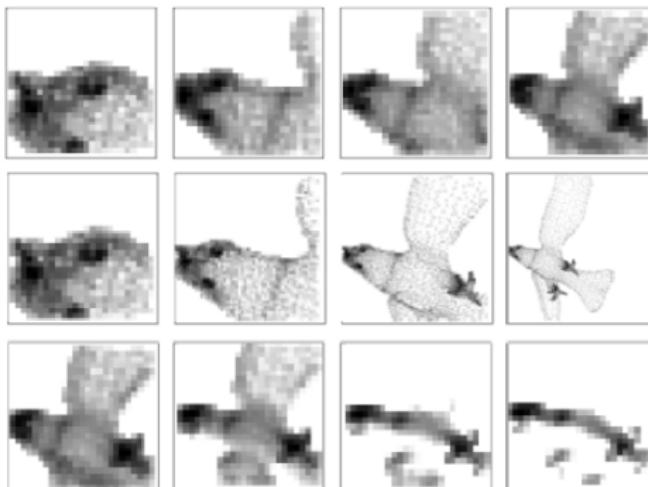
# Spin images



(a)



(b)



## Demo 2: Spin images

# Spin images

- Matching

- Given two spin images with  $N$  bins, we compute the cross-correlation

$$R(P, Q) = \frac{N \sum p_i q_i - \sum p_i \sum q_i}{\sqrt{(N \sum p_i^2 - (\sum p_i)^2)(N \sum q_i^2 - (\sum q_i)^2)}}$$

- Similarity takes into account the variance to avoid the dependency of cross-correlation to the overlap

$$C(P, Q) = (\operatorname{atanh}(R(P, Q)))^2 - \lambda \left( \frac{1}{N-3} \right)$$

# Spin images

$C(P, Q)$  has a high value if two spin images are highly correlated and a large number of pixels overlap.

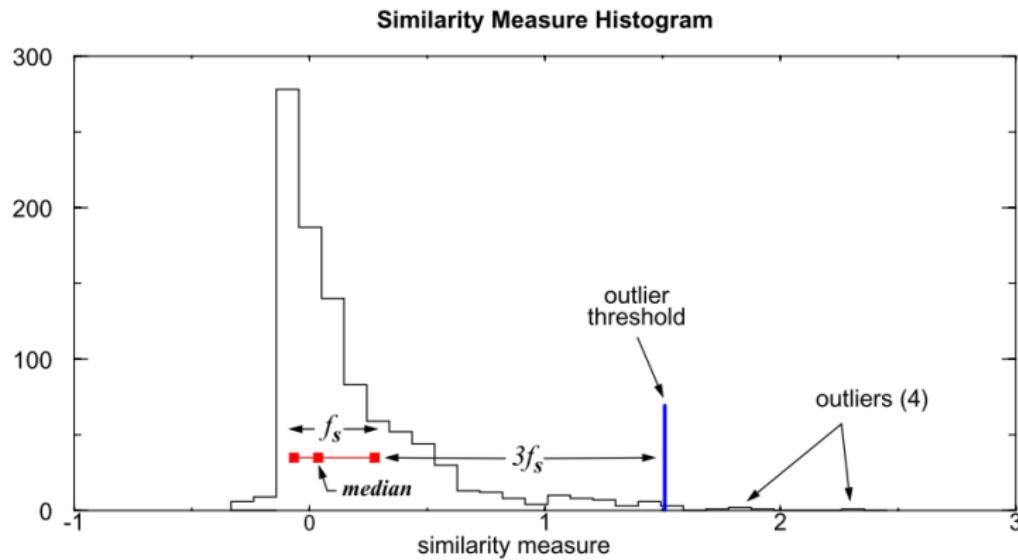
# Spin images

- Matching

- For each shape, a number of random spin images are computed and stored.
- Given a spin image, the matching method computes the similarity to every stored spin images.
- We only need to determine a set with the highest values (extreme outliers of the similarity histogram)

# Spin images

## Set of candidates



# Spin images

- Filtering of correspondences
  - Correspondences with similarity less than the half of the maximum similarity
  - Given two correspondences  $C_1 = (s_1, m_1)$  and  $C_2 = (s_2, m_2)$ , the geometric consistency is defined as

$$d_{gc}(C_1, C_2) = 2 \frac{\|S_{m_2}(m_1) - S_{s_2}(s_1)\|}{\|S_{m_2}(m_1) + S_{s_2}(s_1)\|}$$

$$D_{gc}(C_1, C_2) = \max(d_{gc}(C_1, C_2), d_{gc}(C_2, C_1))$$

where  $S_O(p)$  denotes the spin map function of point  $p$  using the local basis of point  $O$ .

# Spin images

- Filtering of correspondences
  - Geometric consistency involves position and normals.
  - $D_{gc}$  is small if  $C_1$  and  $C_2$  are geometrically consistent.
  - Discard correspondences which are not consistent with at least a quarter of the complete list of correspondences.

# Spin images

- Final step: searching a transformation
  - A group measure is defined

$$w_{gc}(C_1, C_2) = \frac{d_{gc}(C_1, C_2)}{1 - \exp(-(\|S_{m_2}(m_1)\| + \|S_{s_2}(s_1)\|)/2)}$$

$$W_{gc}(C_1, C_2) = \max(w_{gc}(C_1, C_2), w_{gc}(C_2, C_1))$$

- And a measure between a correspondence  $C$  and a group  $\{C_1, C_2, \dots, C_n\}$

$$W_{gc}(C, \{C_1, C_2, \dots, C_n\}) = \max_i(W_{gc}(C, C_i))$$

# Spin images

## Algorithm to generate groups

- For each correspondence  $C_i \in L$ , initialize a group  $G_i = \{C_i\}$
- Find a correspondence  $C_j \in L - G_i$ , such that  $W_{gc}(C_j, G_i)$  is minimum. If  $W_{gc}(C_j, G_i) < T_{gc}$  then update  $G_i = G_i \cup \{C_j\}$ .  $T_{gc}$  is set between zero and one. If  $T_{gc}$  is small, only geometrically consistent correspondences remains. A commonly used value is 0.25.
- Continue until no more correspondences can be added.

# Spin images

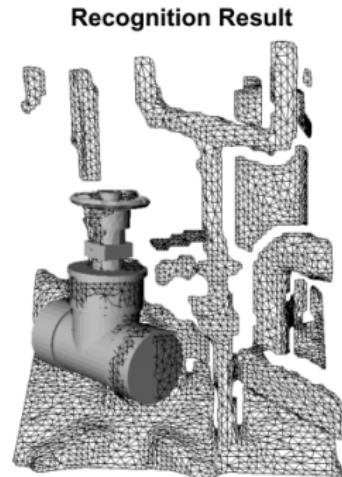
- The grouping algorithm generates  $n$  groups
- For each group of correspondences  $\{(m_i, s_i)\}$  a rigid transformation  $T$  is calculated by minimizing the following error using least squares method

$$E_T = \min_T \sum \|s_i - T(m_i)\|^2$$

where  $T(m_i) = R(m_i) + t$ ,  $R$  and  $t$  are the rotation matrix and the translation vector, representing the rotation and position of the viewpoint  $s_i$  in the coordinate system of  $m_i$ .

# Spin images

A final step could involve an Iterative Closest Point algorithm for refinement.



# Outline

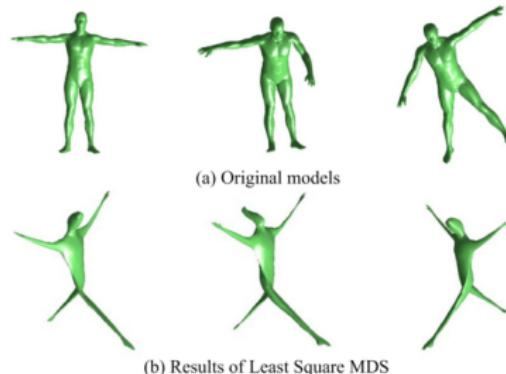
- 1 Introduction
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# Non-rigid shapes

- Models with non-rigid transformations
- Several approaches
  - Canonical embedding
  - Spectral theory (Tutorial 4: Spectral geometry methods in shape analysis at 15:00)

# Canonical embedding

- Find a canonical pose for models and compare them as in global matching (Elad and Kimmel 2003)
- Goal: "Unroll" the object
- Approach: Multi-dimensional scaling



# Canonical embedding

- MDS
  - Given a shape  $(X, d_X)$  where  $d_X$  is the geodesic distance
$$f : (X, d_X) \rightarrow (\mathbb{R}^m, d_{\mathbb{R}^m})$$
  - Map  $f$  converts points on the surface onto points in some Euclidean space
  - Hard to find an exact  $f$ .
  - In matching: as non-rigid shapes preserve geodesic distances, the embedding should be similar.

# Canonical embedding

- MDS

- Find a minimum-distortion embedding

$$f = \arg \min_{f: X \rightarrow \mathbb{R}^m} \sum_{i>j} |d_{\mathbb{R}^m}(f(x_i), f(x_j)) - d_X(x_i, x_j)|^2$$

- The SMACOF algorithm is a gradient descent. It does not guarantee a global minimum

## Demo 3: Canonical embedding

# Introduction to Spectral Analysis

Heat diffusion on  $\mathbb{R}^n$  is governed by the heat equation

$$\left( \Delta + \frac{\partial}{\partial t} \right) u(x; t) = 0; \quad u(x; 0) = u_0(x)$$

under some boundary condition.

- $u(x; t)$  is the heat distribution at point  $x$  at time  $t$ .
- $u_0(x)$  is the initial heat distribution
- $\Delta$  is the Laplacian

# Introduction to Spectral Analysis

For a surface  $X$ , function  $u$  is defined on points of  $X$ , and the heat diffusion equation is

$$\left( \Delta_X + \frac{\partial}{\partial t} \right) u(x; t) = 0; u(x; 0) = u_0(x)$$

- $\Delta_X$  is the Laplace-Beltrami operator

# Introduction to Spectral Analysis

The Laplacian eigenvalue problem (the Helmholtz equation)

$$\Delta_x \phi = -\lambda \phi$$

where  $\lambda$  is an eigenvalue of the Laplacian, and  $\phi$  is its corresponding eigenfunction.

Eigenfunctions are related to the Fourier basis functions.

# Introduction to Spectral Analysis

The Laplace-Beltrami operator  $\Delta_X$  has a discrete set of eigenvalues and eigenvectors.

$$\Delta_X \phi = \lambda \phi$$

where  $0 = \lambda_0 \leq \lambda_1 \leq \lambda_2 \leq \dots$

- $\lambda_0 = 0$  and  $\phi_0$  constant if  $X$  has a boundary.
- Orthogonal eigenvectors

$$\phi_i \cdot \phi_j = \int_X \phi_i \phi_j = 0, i \neq j$$

# Introduction to Spectral Analysis

- Laplace-Beltrami operator  $\Delta_X$  is invariant to isometric transformations
- Eigenvalues and eigenvectors are also invariant (Reuter 2006)

Reuter proposed to represent a non-rigid shape with a small set of eigenvalues: ShapeDNA

## Demo 2: ShapeDNA

# Shape Google

- Represent a 3D model as a quantized vector of spectral descriptors (Bronstein et al. 2010)
- The fundamental solution of heat equation is the heat kernel, represented as

$$K_t(x, y) = \sum_{i=0}^{\infty} \exp(-\lambda_i t) \vec{v}_i(x) \vec{v}_i(y)$$

where  $\lambda_i$  and  $\vec{v}_i$  are the eigenvalues and eigenvectors of the Laplace-Beltrami operator, respectively.

# Shape Google

- A representation for a point can be obtained (Sun et al. 2009)

$$K_t(x, x) = \sum_{i=0}^{\infty} \exp(-\lambda_i t) \vec{v}_i(x)^2$$

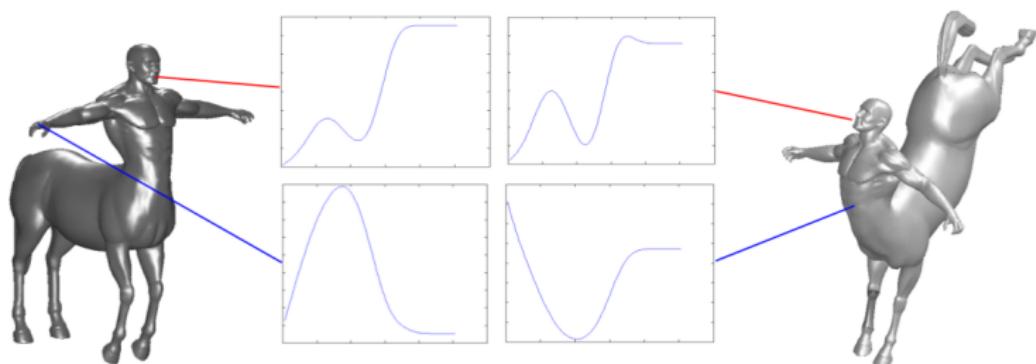
- Using values for  $t$ , we can get a descriptor which is called Heat Kernel Signature

$$p(x) = (p_1(x), \dots, p_n(x))$$

$$p_i(x) = c(x) K_{\alpha^{i-1} t_0}(x, x)$$

# Shape Google

- Heat Kernel Signatures (Bustos and Sipiran, 2012)



# Shape Google

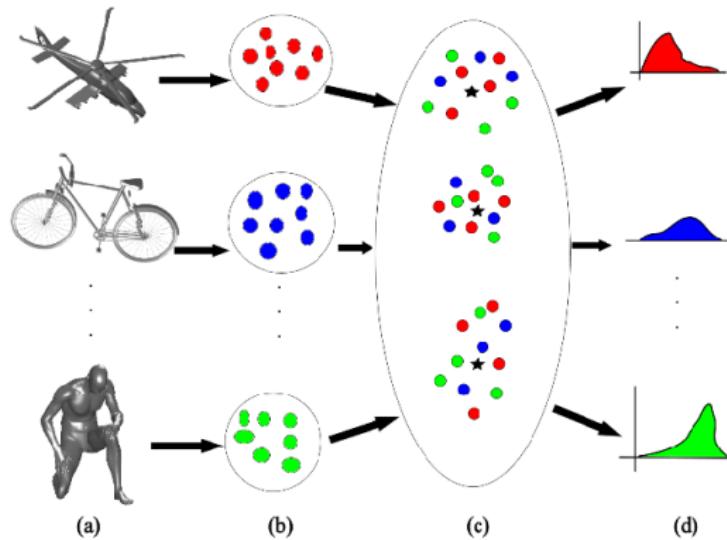
- Heat kernel signatures are sensitive to scale
- A scale-invariant variant has been proposed (Bronstein and Kokkinos, 2010)
  - It uses discrete derivatives and Fourier coefficients for removing the scale dependency of HKS

# Shape Google

- Procedure
  - Compute a descriptor for each vertex in a mesh
  - Given the entire collection of descriptors, perform a k-means clustering to find a dictionary
  - Quantize the descriptors of a shape using the dictionary

# Shape Google

- Process



# Shape Google

- Apply k-means clustering to find a dictionary  
 $M = \{m_1, m_2, \dots, m_k\}$
- For each point  $x$  on a mesh with its descriptor  $p(x)$ , the feature distribution

$$\theta(x) = (\theta_1(x), \dots, \theta_k(x))^T$$

is a vector with elements

$$\theta_i(x) = c(x) \exp \left( \frac{-\|p(x) - m_i\|_2}{2\sigma^2} \right)$$

# Shape Google

- The Bag of Feature of a shape  $S$  is

$$f(S) = \sum_{x \in S} \theta(x)$$

- The distance between two shapes  $S$  and  $T$  is

$$d(S, T) = \|f(S) - f(T)\|$$

# Shape Google

- Spatial information is lost during the quantization process
- Consider pairs of descriptors with a weighting factor

$$F(S) = \sum_{x \in S} \sum_{y \in S} \theta(x) \theta^T(y) K_t(x, y)$$

- $F(S)$  is a matrix.

# Signature Quadratic Form Distance for Retrieval

- Unlike bag of features, this approach is local for defining the signatures
- Signature Quadratic Form Distance (Beecks et al. 2010)
  - Final representation only depends on the object information
  - It is possible to measure the distance between objects with representations of different sizes.

## SQFD for Retrieval

- Object is represented as a set of features

$$F = \{f_i\}$$

- Let us suppose the existence of a local partitioning

$$F : C_1, \dots, C_n$$

- The signature is defined as

$$S^P = \{(c_i^P, w_i^P), i = 1, \dots, n\}$$

where  $c_i^P = \frac{\sum_{f \in C_i} f}{|C_i|}$  and  $w_i^P = \frac{|C_i|}{K}$  represent the centroid of  $i$ -th cluster and a weight, respectively.

# SQFD for Retrieval

- Given two signatures

$$S^P = \{(c_i^P, w_i^P), i = 1, \dots, n\}$$

$$S^Q = \{(c_j^Q, w_j^Q), j = 1, \dots, m\}$$

- SQFD is defined as

$$SQFD_{f_S}(S^P, S^Q) = \sqrt{(w^P - w^Q) \cdot A_{f_S} \cdot (w^P - w^Q)^T}$$

## SQFD for retrieval

- $A_{f_S} \in R^{(n+m) \times (n+m)}$  is the similarity matrix defined as

$$a_{ij} = \begin{cases} f_S(c_i^P, c_j^P) & \text{if } i \leq n \text{ and } j \leq m \\ f_S(c_{i-n}^Q, c_j^P) & \text{if } i > n \text{ and } j \leq m \\ f_S(c_i^P, c_{j-n}^Q) & \text{if } i \leq n \text{ and } j > m \\ f_S(c_{i-n}^Q, c_{j-n}^Q) & \text{if } i > n \text{ and } j > m \end{cases}$$

- The similarity function  $f_S$  can be
  - Minus:  $f_{-}(c_i, c_j) = -d(c_i, c_j)$
  - Gaussian:  $f_g(c_i, c_j) = \exp(-\alpha d^2(c_i, c_j))$
  - Heuristic:  $f_h(c_i, c_j) = \frac{1}{\alpha + d(c_i, c_j)}$

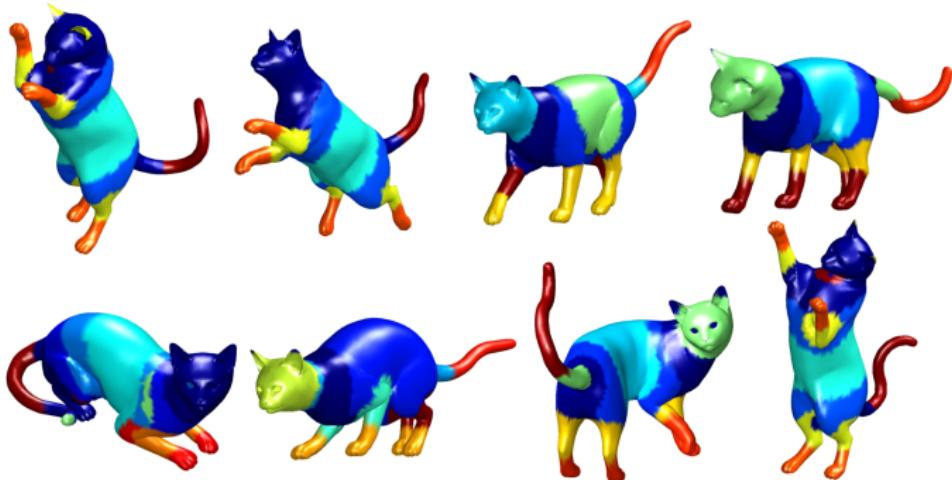
# SQFD for Retrieval

- Three approaches for computing the signatures in 3D meshes
  - All descriptors of an objects
  - Descriptors of keypoints
  - Geodesic clusters
- Adaptive clustering for computing the local partitioning
- We use the Heat Kernel Signatures as descriptors

# SQFD for Retrieval

- All vertices

$$FS(S) = \left\{ \frac{hks(v_i)}{\|hks(v_i)\|} \mid v_i \in S, i = 1, \dots, n \right\}$$

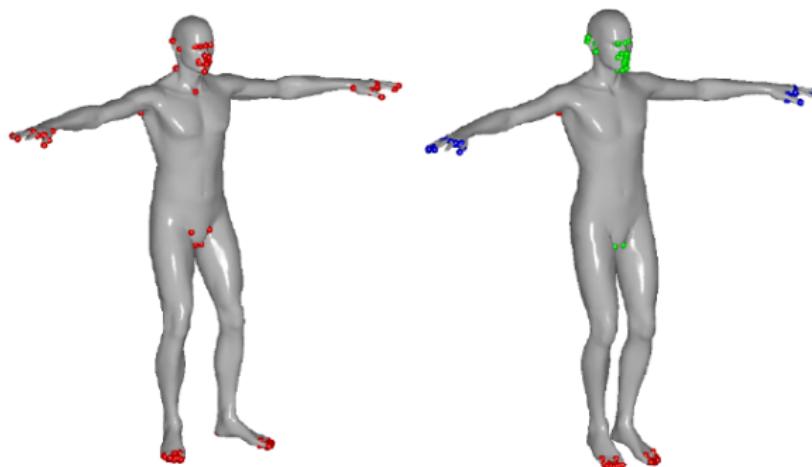


## Demo 5: Signatures with all vertices

# SQFD for Retrieval

- Descriptors of keypoints

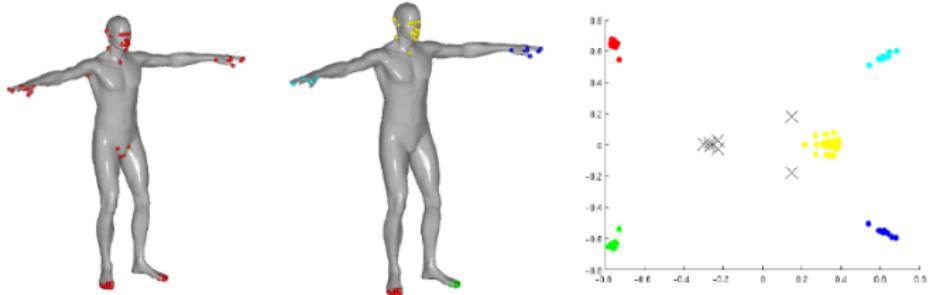
$$FS_{IP}(P) = \left\{ \frac{hks(v)}{\|hks(v)\|} \mid v \in IP(P) \right\}$$



## Demo 6: Signatures with keypoints

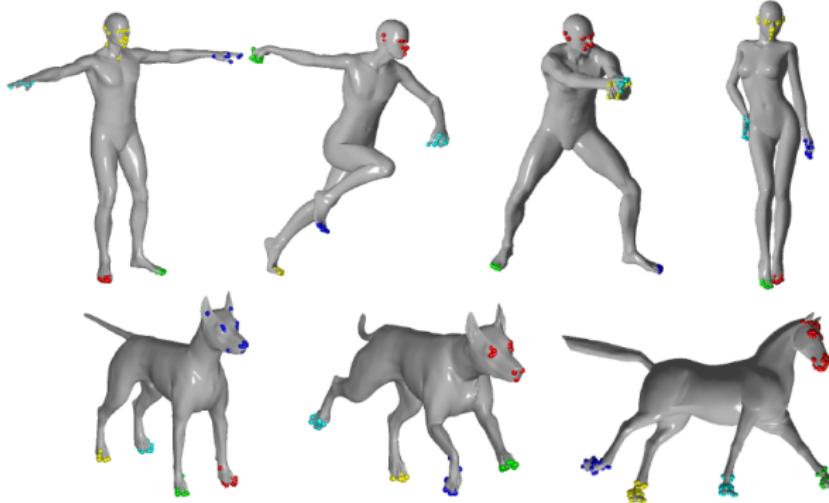
# SQFD for Retrieval

- Geodesic clusters
  - Compute the MDS of the keypoints in  $\mathbb{R}^2$
  - Perform an adaptive clustering
  - One signature for each geodesic cluster



# SQFD for Retrieval

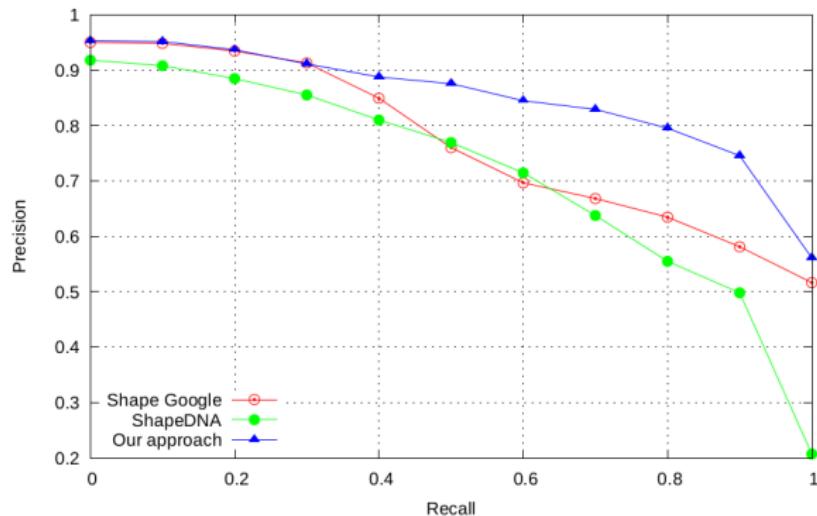
- Examples of geodesic clusters



## Demo 7: Signatures with geodesic clusters

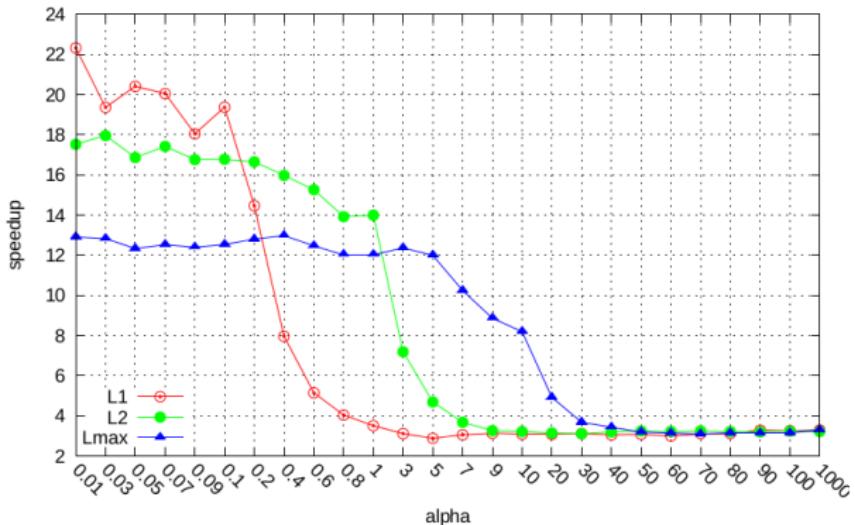
# SQFD for Retrieval

- To evaluate this approach, we built a dataset with 5604 models



## SQFD for Retrieval

- SQFD is indexable with metric access methods
- We used pivot tables to avoid the linear scan



## SQFD for Retrieval

- SQFD is indexable with metric access methods
- We used pivot tables to avoid the linear scan

| Method       | Query time |
|--------------|------------|
| ShapeDNA     | 0.01       |
| Shape Google | 0.1330     |
| Total        | 0.9479     |
| Keypoint     | 0.0252     |
| Cluster      | 1.1842     |

## Other approaches

- A recent comparison evaluated state-of-the-art methods  
(Lian et al. 2013)

# Shape Retrieval Contests (SHREC)

- Competitions started in 2006
- To date: 40+ tracks presented
- Each track has a dataset and evaluation tools
- Good initiative to evaluate algorithms and make comparisons with the state of the art

# SHREC Examples

- CAD models (2008)<sup>1</sup>
  - Using the ESB benchmark

Stats for all run files

| Mean Average Precision(relevant) |                 |            | Mean First Tier(relevant) |                 |            | Mean Second Tier(relevant) |                 |            | Mean Dynamic Average Recall |                 |            |
|----------------------------------|-----------------|------------|---------------------------|-----------------|------------|----------------------------|-----------------|------------|-----------------------------|-----------------|------------|
| Rank                             | RunFile         | Value      | Rank                      | Runfile         | Value      | Rank                       | RunFile         | Value      | Rank                        | Runfile         | Value      |
| 1                                | U_Yama_A Run 1  | 0.79965734 | 1                         | U_Yama_A Run 1  | 78.16664%  | 1                          | U_Yama_A Run 1  | 39.739418% | 1                           | U_Yama_A Run 1  | 0.7943641  |
| 2                                | U_Yama_B Run 1  | 0.47644576 | 2                         | U_Yama_B Run 1  | 44.282406% | 2                          | U_Yama_B Run 1  | 27.32075%  | 2                           | U_Yama_B Run 1  | 0.5675939  |
| 3                                | TNepolean Run 2 | 0.4330686  | 3                         | TNepolean Run 2 | 41.62454%  | 3                          | TNepolean Run 2 | 26.433836% | 3                           | TNepolean Run 2 | 0.50698084 |
| 4                                | TNepolean Run 1 | 0.39915675 | 4                         | TNepolean Run 1 | 38.54358%  | 4                          | TNepolean Run 1 | 24.579538% | 4                           | TNepolean Run 1 | 0.47764158 |
| 5                                | Asim Run 1      | 0.35762513 | 5                         | Asim Run 1      | 32.961426% | 5                          | Asim Run 1      | 21.038078% | 5                           | Asim Run 1      | 0.44011146 |
| 6                                | X_Li Run 1      | 0.32791287 | 6                         | X_Li Run 1      | 31.491108% | 6                          | X_Li Run 1      | 19.132729% | 6                           | X_Li Run 1      | 0.42887306 |

| Mean Normalized Cumulated Gain @5 |                 |            | Mean Normalized Cumulated Gain @10 |                 |            | Mean Normalized Cumulated Gain @25 |                 |            | Mean Normalized Cumulated Gain @50 |                 |            | Mean Normalized Cumulated Gain @100 |                 |            |
|-----------------------------------|-----------------|------------|------------------------------------|-----------------|------------|------------------------------------|-----------------|------------|------------------------------------|-----------------|------------|-------------------------------------|-----------------|------------|
| Rank                              | RunFile         | Value      | Rank                               | RunFile         | Value      | Rank                               | RunFile         | Value      | Rank                               | RunFile         | Value      | Rank                                | RunFile         | Value      |
| 1                                 | U_Yama_A Run 1  | 0.79111105 | 1                                  | U_Yama_A Run 1  | 0.7883333  | 1                                  | U_Yama_A Run 1  | 0.7886773  | 1                                  | U_Yama_A Run 1  | 0.82316583 | 1                                   | U_Yama_A Run 1  | 0.87145066 |
| 2                                 | U_Yama_B Run 1  | 0.6577777  | 2                                  | U_Yama_B Run 1  | 0.51199293 | 2                                  | U_Yama_B Run 1  | 0.50129616 | 2                                  | U_Yama_B Run 1  | 0.5586469  | 2                                   | TNepolean Run 2 | 0.67413086 |
| 3                                 | TNepolean Run 2 | 0.5555556  | 3                                  | TNepolean Run 2 | 0.48215166 | 3                                  | TNepolean Run 2 | 0.4817584  | 3                                  | TNepolean Run 2 | 0.5507752  | 3                                   | TNepolean Run 1 | 0.6479456  |
| 4                                 | TNepolean Run 1 | 0.52       | 4                                  | TNepolean Run 1 | 0.44985005 | 4                                  | TNepolean Run 1 | 0.4206269  | 4                                  | TNepolean Run 1 | 0.5017806  | 4                                   | U_Yama_B Run 1  | 0.64153767 |
| 5                                 | Asim Run 1      | 0.49777776 | 5                                  | Asim Run 1      | 0.4206437  | 5                                  | Asim Run 1      | 0.409007   | 5                                  | Asim Run 1      | 0.4520872  | 5                                   | Asim Run 1      | 0.5727366  |
| 6                                 | X_Li Run 1      | 0.48888898 | 6                                  | X_Li Run 1      | 0.39493832 | 6                                  | X_Li Run 1      | 0.3634691  | 6                                  | X_Li Run 1      | 0.40770996 | 6                                   | X_Li Run 1      | 0.48382616 |

<sup>1</sup> Available in: <https://engineering.purdue.edu/PRECISE/shrec08>

# SHREC Examples

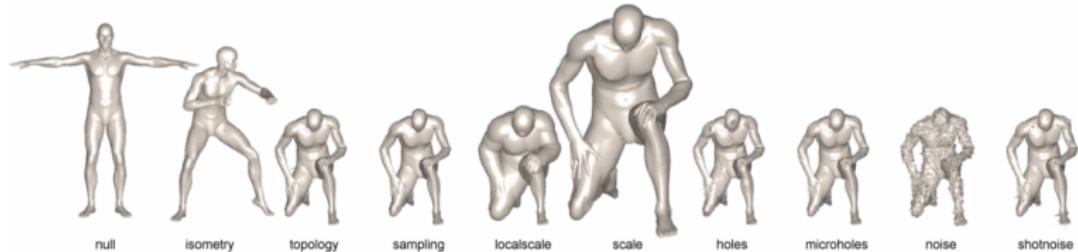
- Generic shape retrieval (2009)<sup>2</sup>
  - 720 objects organized in 40 classes, 22 algorithms evaluated



<sup>2</sup><http://www.itl.nist.gov/iad/vug/sharp/benchmark/shrecGeneric/>

# SHREC Examples

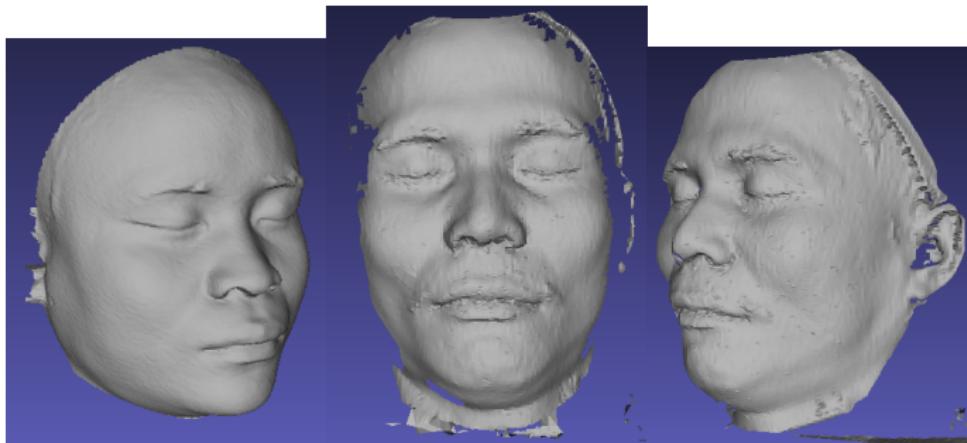
- Feature detection and description (2010)<sup>3</sup>
  - Three shapes, 9 transformations in 5 levels of strength.
  - Goal: measure the repeatability of local features



<sup>3</sup> Available in: [http://tosca.cs.technion.ac.il/book/shrec\\_feat2010.html](http://tosca.cs.technion.ac.il/book/shrec_feat2010.html)

# SHREC Examples

- Face scans (2010)<sup>4</sup>
  - Training set: 60 models
  - Test set: 650 scans



<sup>4</sup> Available in: <http://give-lab.cs.uu.nl/SHREC/shrec2011/faces/index.php>

# SHREC Examples

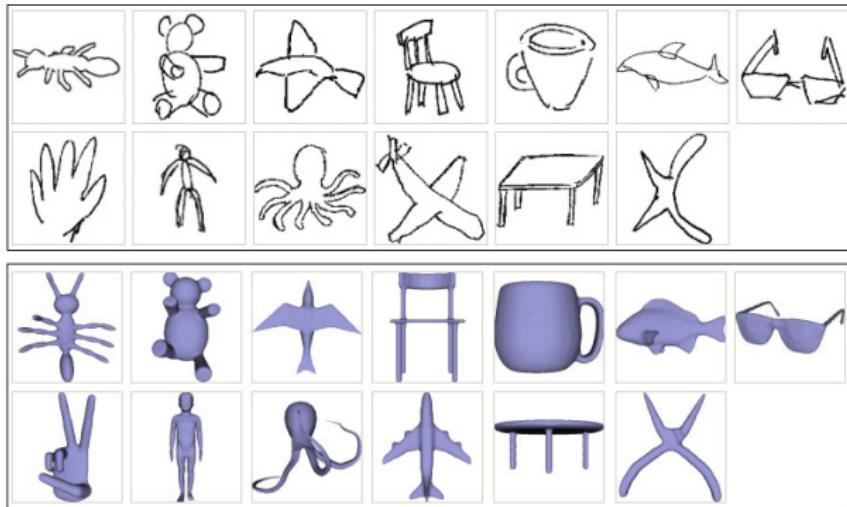
- Non-rigid retrieval (2011)<sup>5</sup>
  - 600 objects with non-rigid transformations



<sup>5</sup> Available in: <http://www.itl.nist.gov/iad/vug/sharp/contest/2011/NonRigid/>

# SHREC Examples

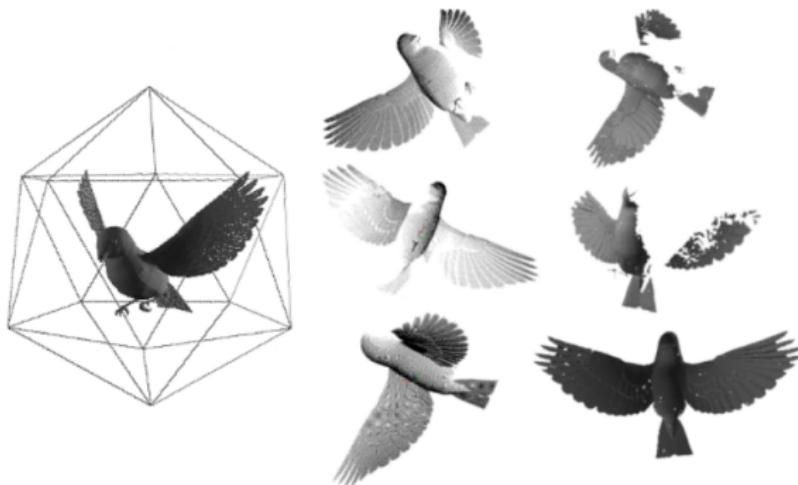
- Sketch-based 3D models retrieval (2012)<sup>6</sup>
  - 400 3D models, 250 hand-drawn sketches



<sup>6</sup> Available in: <http://www.itl.nist.gov/iad/vug/sharp/contest/2012/SBR/>

# SHREC Examples

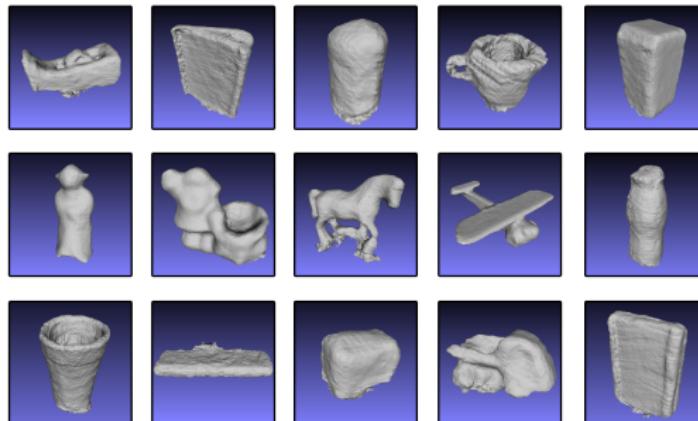
- Large-scale partial shape retrieval (2013)<sup>7</sup>
  - 360 models, 7200 partial queries



<sup>7</sup> Available in: <http://dataset.dcc.uchile.cl/>

# SHREC Examples

- Retrieval of Objects Captured with Low-Cost Depth-Sensing Cameras (2013)<sup>8</sup>
  - 192 models captured with Kinect



<sup>8</sup> Available in: <http://3dorus.ist.utl.pt/research/BeKi/index.html>

## Final remarks

- Good balance of theory and practice in solutions
- Current methods will be useful tools for supporting the emergence of massive 3D data
- There is still room for improvements (efficiency, scalability, robustness)

## Future trends

- Not-so-local features
- How to deal with missing data? For instance, due to occlusions
- Representations: point clouds

## Tutorial material

- Demo's shapes belongs to the TOSCA dataset (A. Bronstein and M. Bronstein and R. Kimmel, 2008)
- Slides and matlab codes will be available soon on <http://users.dcc.uchile.cl/~isipiran/>

# Thank You

Thank You!  
Questions please